

Supervision Information to be Disclosed within 20 Days

<u>Chinese version</u> The China Securities Regulatory Commission (CSRC) and its branches will disclose the information formed or obtained in the performance of securities and futures market supervision within 20 working days since the day the said information is formed. The measure is adopted to improve the transparency of the CSRC's supervision.

According to the Measures for the Disclosure of the Information of Securities and Futures Supervision and Administration (Trial Implementation) effective on May 1, the supervision information to be voluntarily disclosed by the CSRC includes the securities and futures rules, normative documents, market development planning and progress reports, the statistical information included in the national statistic index system, the administrative licensing items and results of verification and approval, the results of approval and registration of non-administrative licensing items such as the varieties listed in the securities and futures exchanges and the rules of self-discipline institutions and the market entry prohibition and decisions on administrative penalties.

In addition, citizens, legal persons and other organizations may apply to obtain related supervision information from the CSRC based on their special needs for living, production and scientific research. However, the applied information must be directly related to the applicants' interests. The information that involves commercial secret and personal privacy of a third party can only be disclosed with the approval of the third party.

(Source: China Securities Regulatory Commission)

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